



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Rosa L. DeLauro  
**Status:** Member  
**State/District:** CT03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2018  
**Filing Date:** 06/13/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset  | Owner | Value of Asset            | Income Type(s) | Income Tx. > \$1,000?    |
|--|-------|---------------------------|----------------|--------------------------|
| Bank of America [BA]   | JT    | \$15,001 - \$50,000       | None           | <input type="checkbox"/> |
| EMPOWER American Funds [MF]  | SP    | \$15,001 - \$50,000       | Tax-Deferred   | <input type="checkbox"/> |
| DESCRIPTION: All funds previously managed by John Hancock now managed by EMPOWER American Funds                                  |       |                           |                |                          |
| Greenberg Research Inc: Strategic Consulting, 100% Interest [OL]   | SP    | \$1,000,001 - \$5,000,000 | None           | <input type="checkbox"/> |
| LOCATION: Washington, DC, US<br>DESCRIPTION: Greenberg Research Inc.-Ownership value based on an independent business valuation. |       |                           |                |                          |
| Mass Mutual Whole Life Insurance Policy [WU]   | SP    | \$100,001 - \$250,000     | None           | <input type="checkbox"/> |
| TIAA CREF Retirement CREF Money Market [OT]  | SP    | None                      | Tax-Deferred   | <input type="checkbox"/> |
| DESCRIPTION: 403B  |       |                           |                |                          |
| TIAA CREF Retirement: CREF Stock (EIF) [OT]  |       | \$1 - \$1,000             | Tax-Deferred   | <input type="checkbox"/> |
| DESCRIPTION: 403B  |       |                           |                |                          |
| TIAA CREF Retirement: TIAA Traditional (EIF) [OT]  | SP    | \$1,001 - \$15,000        | Tax-Deferred   | <input type="checkbox"/> |

| Asset  | Owner | Value of Asset       | Income Type(s) | Income Tx. > \$1,000?               |
|--|-------|----------------------|----------------|-------------------------------------|
| DESCRIPTION: 403B                                |       |                      |                |                                     |
| TIAA CREF Retirement:TIAA Traditional (EIF) [MF] |       | \$50,001 - \$100,000 | Tax-Deferred   | <input checked="" type="checkbox"/> |

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

None disclosed.

## SCHEDULE C: EARNED INCOME

| Source                                       | Type              | Amount     |
|--|-------------------|------------|
| Greenberg Quinlan Rosner                     | Spouse salary     | N/A        |
| Greenberg Research Inc                       | Spouse salary     | N/A        |
| TIAA/CREF                                    | Retirement        | \$4,398.36 |
| EMPOWER American Funds                       | Spouse retirement | N/A        |
| COMMENTS: Previously managed by John Hancock |                   |            |
| TIAA/CREF                                    | Spouse retirement | N/A        |

## SCHEDULE D: LIABILITIES

| Owner   | Creditor                             | Date Incurred | Type  | Amount of Liability   |
|---|--------------------------------------|---------------|---|-----------------------|
| SP  | Bank of America Wilmington, DE 29886 | 2009          | Loan  | \$3,806.00            |
| JT  | Bank of America Wilmington, DE       | 7/29/1999     | Mortgage on Personal Residence Washington, DC | \$250,001 - \$500,000 |
| SP  | Card Services Philadelphia, PA 19101 | 12/31/2014    | Revolving Charge Account                      | \$15,001 - \$50,000   |
| SP  | American Express, Newark, NJ 07101   | 12/31/2014    | Revolving Charge Account                      | \$27,429.69           |
| SP  | EMPOWER American Funds               | 1/8/2014      | Loan  | \$8.03                |
| COMMENTS: Loan previously managed by John Hancock |                                      |               |   |                       |
| JT  | Bank of America Wilmington, DE       | 7/29/1999     | Mortgage on Personal Residence Washington, DC | \$250,001 - \$500,000 |

## SCHEDULE E: POSITIONS

| <b>Position</b>                     | <b>Name of Organization</b>                                 |
|-------------------------------------|---|
| Honorary Board Member               | Women's Campaign School at Yale University                  |
| Honorary Board Member               | Special Olympics  |
| Honorary Board Member               | Sisters Journey   |
| National Advisory Board             | National Research Center for Women & Families               |
| Member                              | CT International Women's Forum                              |
| Honorary Board Member               | Women's Health Research at Yale                             |
| Distinguished Board Member          | National Organization of Italian American Women             |
| Board of Directors(ex-oficio)       | The Governor's Prevention Partnership                       |
| Honorary Board of Directors         | Cancer Schmancer Movement                                   |
| Congressional Advisory Council      | The Faith and Politics Institute                            |
| Advisory Board                      | The Perry House: Doorway to Stratford                       |
| Member                              | Italian American Historical Society of CT                   |
| Member                              | NAACP   |
| Member                              | Delta Kappa Gamma Society(Honor Society of Women Educators) |
| Life Member                         | Hadassah, Wapawuag, CT Valley-West Rock Chapter             |
| Honorary Board Member               | The Center for WorkLife Law (WLL)                           |
| Honorary Member, Advisory Committee | Diapers for Older Children with Special Healthcare Needs    |
| Honorary Board                      | National Women's History Museum                             |
| Honorary Board                      | National Student Leadership Conference                      |
| Honorary Member, Advisory Committee | Diaper Bank   |

#### **SCHEDULE F: AGREEMENTS**

| <b>Date</b> | <b>Parties To</b>                    | <b>Terms of Agreement</b> |
|-------------|--------------------------------------|---------------------------|
| August 2015 | Rosa Delauro and The New Press, Inc. | Approved book agreement   |

#### **SCHEDULE G: GIFTS**

None disclosed.

#### **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **COMMENTS**

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Rosa L. DeLauro , 06/13/2019